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PTO/SB/21 (6-98)
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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/090,320 | |
| | Filing Date | March 1, 2002 | |
| | First Named Inventor | Cao, et. al. | |
| | Group Art Unit | TBD | |
| | Examiner Name | TBD | |
| Total Number of Pages in This Submission | 3 | Attorney Docket Number | 3446 |

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| Remarks | | Charge any additional fees required under 37 CFR 1.16 and 1.17 to Account No. 01-0431 |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
| Firm or Individual name | Sandra Wells, Reg. No.- 52,349 |
| Signature | <i>Sandra Wells</i> |
| Date | 12/9/02 |

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APPLICATION**

| | |
|------------------------|--------------|
| Application Number | 10/090,320 |
| Filing Date | 3/1/02 |
| First Named Inventor | Cao, et. al. |
| Group Art Unit | TBD |
| Examiner Name | TBD |
| Attorney Docket Number | 3446 |

I hereby appoint:

☒ Practitioners at Customer Number

22886

OR

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☐ Applicant.

☒ Assignee of record of the entire interest

Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

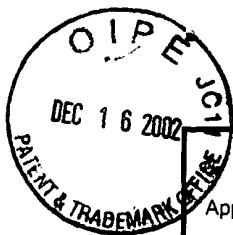
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|-----------|-----------------------------|
| Name | Barbara A. Caulfield |
| Signature | <i>Barbara A. Caulfield</i> |
| Date | 12/05/02 |

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Cao, et. al.

Application No./Patent No.: 10/090,320

Filed/Issue Date: March 1, 2002

Entitled: Methods for Gene Expression Analysis

Affymetrix, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012879, Frame 0157, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/05/02
Date

Barbara A. Caulfield
Signature

Barbara A. Caulfield

Typed or printed name

Exec. V.P. and General Counsel

Title

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